

Applicant or Patentee: Robert R. Granados, Gary W. Blissard
and Guangyun Lin

Serial or Patent No.: _____

Attorney's

Filed or Issued: March 3, 2000

Docket No: BTI-44

Title: "STABLE CELL LINES RESISTANT TO APOPTOSIS AND NUTRIENT STRESS AND METHODS OF MAKING SAME"

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION : Boyce Thompson Institute For Plant Research, Inc.

ADDRESS OF ORGANIZATION: Tower Road, Ithaca, New York 14853

TYPE OF ORGANIZATION

☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
OF AMERICA: (NAME OF STATE _____)
(CITATION OF STATUTE _____)

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC
501(a) and 501(c)(3) IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATE OF STATE OF
THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA:
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as
defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States
Code with regard to the invention entitled "STABLE CELL LINES RESISTANT TO APOPTOSIS AND
NUTRIENT STRESS AND METHODS OF MAKING SAME"

by inventor(s) Robert R. Granados, Gary W. Blissard and Guangyun Lin described in:

☒ the specification filed herewith

☐ application serial number _____, filed _____

☐ patent number _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit
organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization
having rights to the invention is listed below* and no rights to the invention are held by any person, other than the
inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would
not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organi under 37 CFR 1.9(e). * NOTE:
Separate verified statements are required from each named person, concern or organization having rights to the
invention averring to their status as small entities.

NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

095187630300

NAME OF PERSON SIGNING: Joyce Frank

ADDRESS OF PERSON SIGNING: Tower Road, Ithaca, New York 14850

SIGNATURE Joyce Frank DATE 3/3/00

- My residence, post office address and citizenship are as stated below next to my name.
- I believe I am an original, first and joint Inventor of the subject matter which is claimed and for which a patent is sought on the Invention entitled "STABLE CELL LINES RESISTANT TO APOPTOSIS AND NUTRIENT STRESS AND METHODS OF MAKING SAME", the specification of which

[] was filed on _____ as
 [] United States Application Number
 [] PCT International Application Number

- 091673 030900

- I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

L. George Legg, Reg. No. 34,208
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"Recognizing that Internet communications are not secured, I hereby authorize the PTO to communicate with me concerning any subject matter of this application by electronic mail. I understand that a copy of these communications will be made of record in the application file"

- Address all correspondence to: Brown, Pinnisi and Michaels, P.C.
400 M&T Bank Building-118 North Tioga Street
Ithaca, New York 14850-4343

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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